



Sheet 1 of 1

LIST OF ART CITED BY APPLICANT

(Use several sheets if necessary)

Atty Docket No. A01449	Serial No. 10/677,436
Applicant Lifeng Zhang	
Filing Date 10/02/03	Group 1623

U.S. Patent Documents

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	Class/Subclass	FILING DATE IF APPROPRIATE
RI	AA	2003/171459A1	09/11/03	Sau		10/29/01
RI	AB	2002/165313A1	11/07/02	Tanzer		12/26/01
RI	AC	5,137,571	08/11/92	Eisenhart et al		
RI	AD	5,376,709	12/27/94	Lau et al		
RI	AE	5,425,806	06/20/95	Doolan et al		
	AF					
	AG					
	AH					
	AI					
	AJ					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	Class/Subclass	Translation Yes/No
RI	AL	EP0460896	12/11/91	EP		Equiv. To US 5,137,571A
RI	AM	EP0614950	09/14/94	EP		Equiv. To US 5,376,709 A
RI	AN	WO03037989	05/08/03	PCT		Equiv. To US 2003171459 A1
RI	AO	EP1236776 A	09/04/02	EP		Equiv. To US 2002/165313 A1
RI	AP	EP0682094A	11/15/95	EP		Equiv. To US 5425806 A

OTHER ART (Including Author, Title, Date, Pertinent Patents, etc.)

RI	AR	Zeyng Ma et al ; "Complexations of Beta-Cyclodextrin with Surfactants and Hydrophobically Modified Ethoxylated Urethanes"; ACS Symposium Series, vol. 765, 2000, pages 254-270, XP008026121 USA
RI	AS	Karlson L et al; "A rheological investigation of the complex formation between hydrophobically modified ethyl (hydroxy ethyl) cellulose and cyclodextrin"; Carbohydrate Polymers, Applied Science Publishers, Ltd. Barking, GB, vol. 50, no. 3; 11/15/02; pages 219-226, XP004395899; ISSN, 01448617

Examiner /Roy Issac/ (12/17/2006)	Date Considered 12/17/2006
---	--------------------------------------

*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

RECEIVED
CENTRAL FAX CENTER

AUG 28 2006

PTO/SB/08A (07-05)

Approved for use through 07/31/2008. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known			
				Application Number		10/677,436	
				Filing Date		October 2, 2003	
				First Named Inventor		Lifeng Zhang	
				Group Art Unit		1623	
				Examiner Name		Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number		A01449	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
RI		US 6,809,132 B2	10-26-2004	Sau	
RI		US 6,900,255	05-31-2005	Sau	
RI		US 2005/0187342 A1	08-25-2005	Schieferstein <i>et al.</i>	
		US			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)			

Examiner Signature	/Roy Issac/ (12/17/2006)	Date Considered	12/17/2006
-----------------------	--------------------------	--------------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kind's Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language. Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Sheet 1 of 1

LIST OF ART CITED BY APPLICANT

(Use several sheets if necessary)

Atty Docket No. AO1449	Serial No. 10/677,436
Applicant Lifeng Zhang	
Filing Date 10/02/03	Group Unknown

U.S. Patent Documents

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	Class/Subclass	FILING DATE IF APPROPRIATE
RI	AA	6,107,394	8/22/00	Broadbent, et al.		
	AB	6,002,049	12/14/99	Wiggins, et al.		
	AC	5,376,709	12/27/94	Lau, et al.		
	AD	5,137,571	8/11/92	Eisenhart, et al.		
	AE	5,023,309	6/11/91	Kruse, et al.		
	AF	4,079,028	3/14/78	Emmons, et al.		
	AG					
	AH					
	AI					
	AJ					
	AK					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	Class/Subclass	Translation Yes/No
	AL					
	AM					
	AN					
	AO					
	AP					

OTHER ART (Including Author, Title, Date, Pertinent Patents, etc.)

	AR	
	AS	
	AT	

Examiner /Roy Issac/ (12/17/2006)	Date Considered 12/17/2006
---	--------------------------------------

*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.